

Neural Bonanza III: The Final Bonanza Pt. 1

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REU 2019

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Joint work with Sam Macdonald (Willamette)

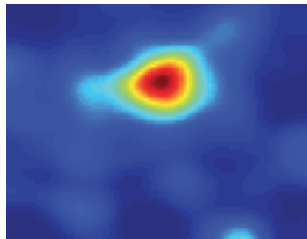
July 22, 2019

Outline

- Biological motivation
- Definitions
- Disproving conjectures
- Main question
- Future research

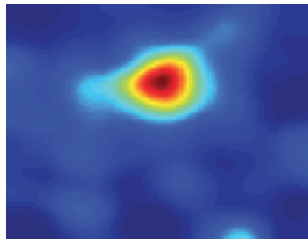
Biological Motivation

- Place cells in hippocampus
- Encode data
- Maps environment
- Convex place fields



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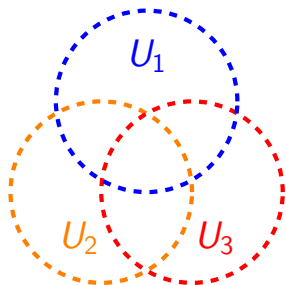
Relation to Mathematics

Can we find criteria to classify neural codes as convex given only the structure of the code?

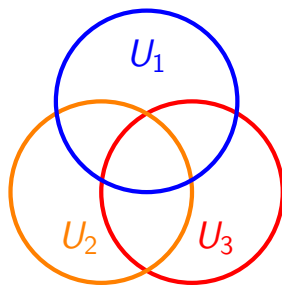
Important Definitions

Open/Closed Convex Codes

A code $C \subset 2^{[n]}$ is *open* (or *closed*) *convex* if there exist open (or closed) convex subsets $U_1, U_2, \dots, U_n \subseteq \mathbb{R}^d$, for some d , that generate the code.



Open Convex



Closed Convex

Important Definitions

3-Sparse

A code C is *3-sparse* if no codeword is longer than 3 neurons.

$$\text{Let } C = \{123, 124, 12, 13, 34, 1, 3, 4, \emptyset\}$$

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Facet

A codeword $\sigma \in C$ is a *facet* if it is a maximal element of C with respect to inclusion, that is, $\sigma \not\subseteq \alpha$ for all $\alpha \in C$ such that $\alpha \neq \sigma$.

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Here, our facets are $\{123, 124, 34\}$

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A code C is *max-intersection complete* if all the intersections of its facets are in C .

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- Intersections: $12 = 123 \cap 124$, $3 = 123 \cap 34$, $4 = 124 \cap 34$

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- Intersections: $12 = 123 \cap 124$, $3 = 123 \cap 34$, $4 = 124 \cap 34$
- $\{12, 3, 4\} \subseteq C$
- So C is max-intersection complete

Important Definitions

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Simplicial Complex

We define the *simplicial complex* of a code C as:

$$\Delta(C) := \{\sigma \subseteq [n] : \sigma \subseteq \alpha \text{ for some } \alpha \in C\}$$

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Link

For a simplicial complex Δ and some $\sigma \in \Delta$, the *link* of σ is defined as: $\text{Lk}_\sigma(\Delta) := \{\tau \subseteq [n] \setminus \sigma : \sigma \cup \tau \in \Delta\}$

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Important Definitions

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Mandatory

A word $\sigma \in \Delta(C)$ is *mandatory* if $\text{Lk}_\sigma(\Delta(C))$ is not contractible. Similarly, σ is *non-mandatory* if $\text{Lk}_\sigma(\Delta(C))$ is contractible.

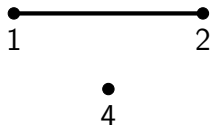
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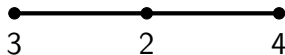
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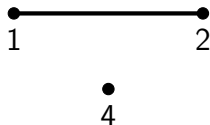
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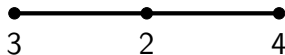
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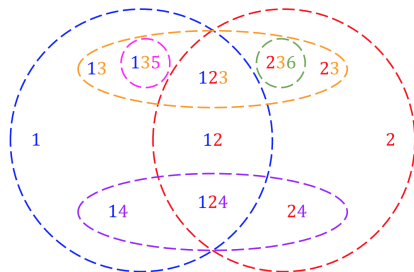
Locally Good

A code is *locally good* if it contains all of its mandatory codewords.

Disproven Conjectures: Goldrup and Phillipson

Conjecture (Goldrup and Phillipson 2014)

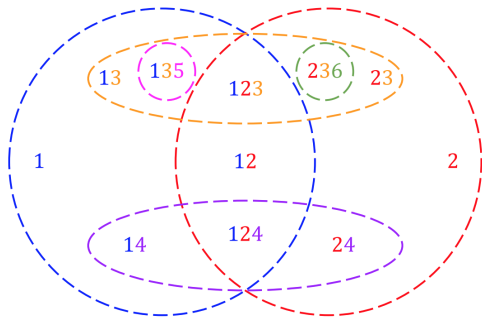
Let C be a code that is **open convex**, **not max intersection-complete**, and has at least **two non-mandatory codewords**. Suppose C has at least **3 facets** M_1, M_2, M_3 , and there is $\sigma \in C$ such that $\sigma \subset M_1$ and $\sigma \cap M_2 \notin C$. Then C is not a closed convex code.



$$C = \{\underline{135}, \underline{123}, \underline{236}, \underline{124}, 12, 13, 14, 23, 24, 1, 2, \emptyset\}$$

Goldrup and Phillipson Conjecture

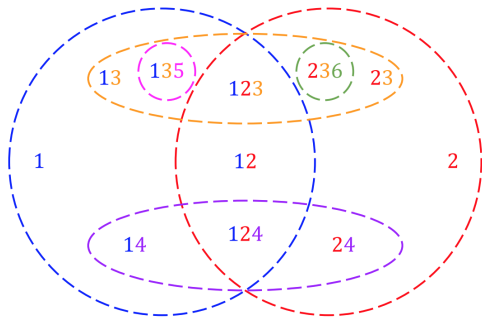
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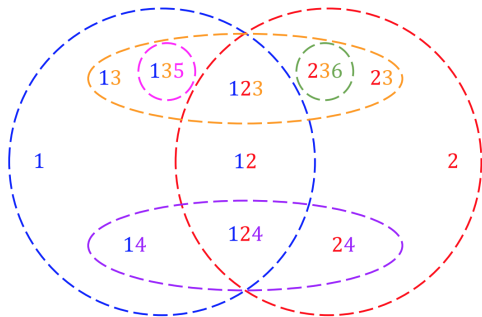
- Open convex
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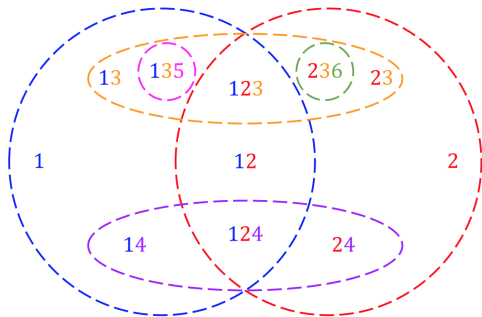
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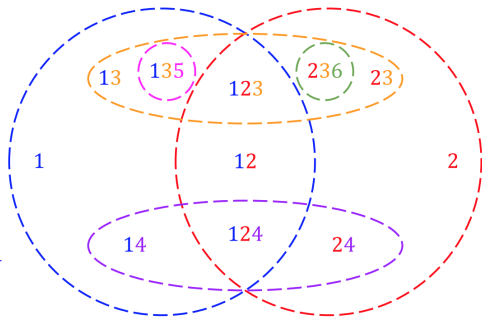
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- ≥ 2 non-mandatory words



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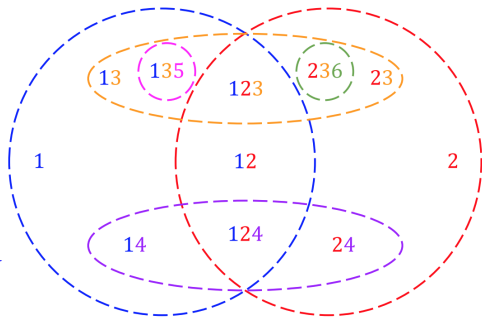
- Open convex
- Not $\max\text{-}\cap\text{-complete}$
 - $135 \cap 236 = 3 \notin C$
- ≥ 2 non-mandatory words
 - $\text{Lk}_{\{3\}}(\Delta) = \{15, 12, 26, \emptyset\}$
 - $\text{Lk}_{\{4\}}(\Delta) = \{12, \emptyset\}$



$$C = \{1, 13, 14, \underline{135}, \underline{123}, 12, \underline{124}, \underline{236}, 23, 24, 2, \emptyset\}$$

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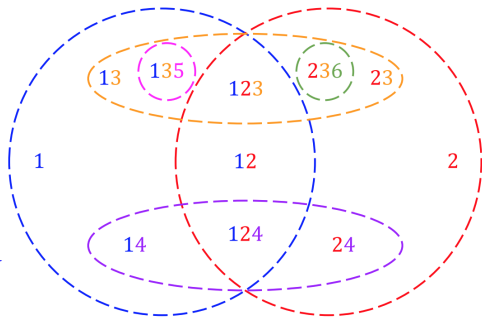
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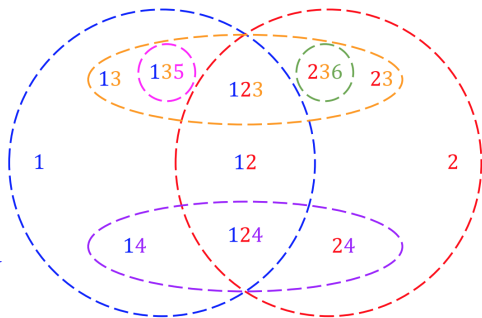
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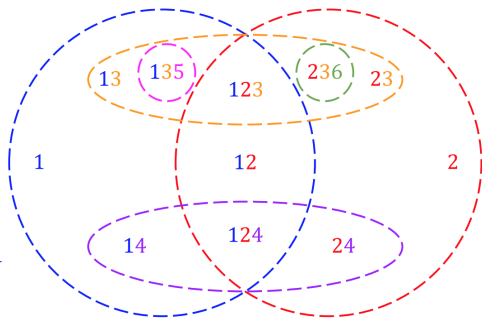
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 - $M_1 = 123, M_2 = 236, M_3 = 135$
- $\sigma \in C$ such that:
 - $\sigma \subset M_1$.



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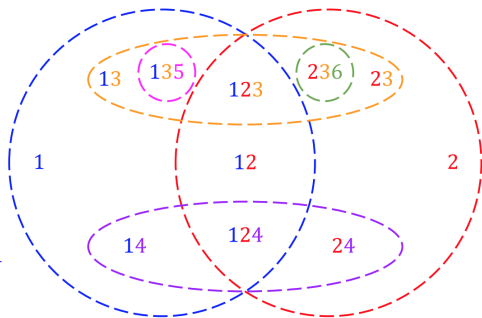
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- ≥ 3 facets M_1, M_2, M_3
 - $M_1 = 123, M_2 = 236, M_3 = 135$
- $\sigma \in C$ such that:
 - $\sigma \subset M_1$. Let $\sigma = 13$. $13 \subset 123$



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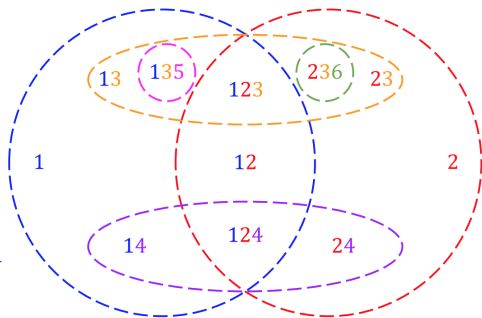
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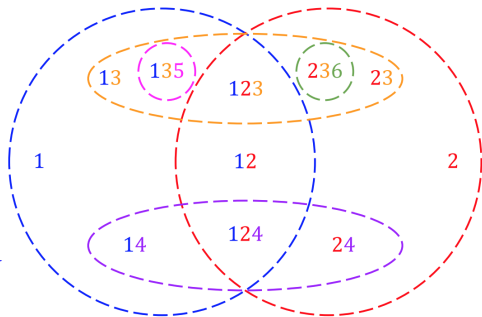
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 - $M_1 = 123, M_2 = 236, M_3 = 135$
- $\sigma \in C$ such that:
 - $\sigma \subset M_1$. Let $\sigma = 13$. $13 \subset 123$
 - $\sigma \cap M_2 \notin C$. $13 \cap 236 = 3 \notin C$



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Goldrup and Phillipson Conjecture

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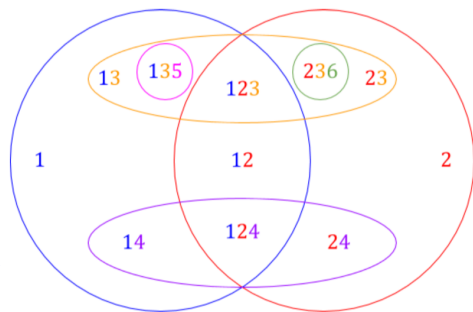


$$C = \{1, 13, 14, \underline{135}, \underline{123}, 12, \underline{124}, \underline{236}, 23, 24, 2, \emptyset\}$$

Then the Conjecture says C is not closed convex...

Goldrup and Phillipson Conjecture

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 - $M_1 = 123, M_2 = 236, M_3 = 135$
- $\sigma \in C$ such that:
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 - $\sigma \cap M_2 \notin C$. $13 \cap 236 = 3 \notin C$



$$C = \{1, 13, 14, \underline{135}, \underline{123}, 12, \underline{124}, \underline{236}, 23, 24, 2, \emptyset\}$$

Then the Conjecture says C is not closed convex... but this is **false!**

Main Question

What we already know:

- Convex \Rightarrow locally good

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What we already know:

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Conjecture 1

If a 3-sparse neural code is locally good, then it must be **closed** convex.

Main Question

What we already know:

- Convex \Rightarrow locally good
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Conjecture 1

If a 3-sparse neural code is locally good, then it must be **closed** convex.

Conjecture 2

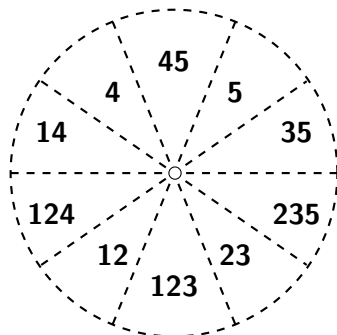
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Closed Convex

Conjecture 1

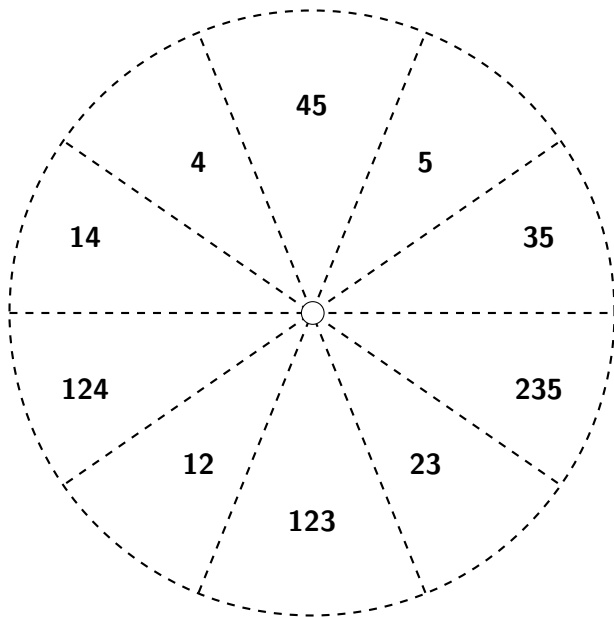
If a 3-sparse neural code is locally good, then it must be **closed** convex.

$$C = \{123, 124, 235, 12, 14, 23, 35, 45, 4, 5, \emptyset\}$$

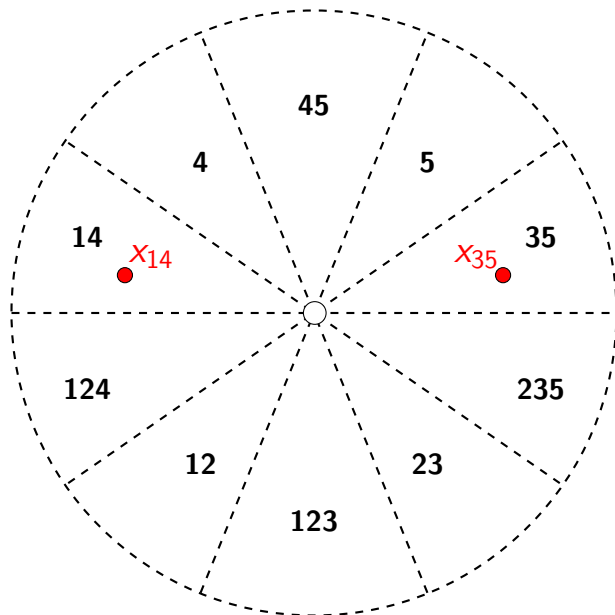


Recall: Open convex \Rightarrow locally good

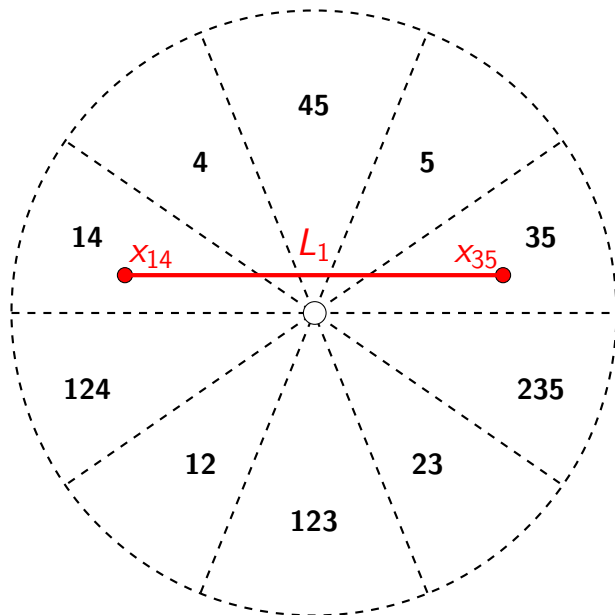
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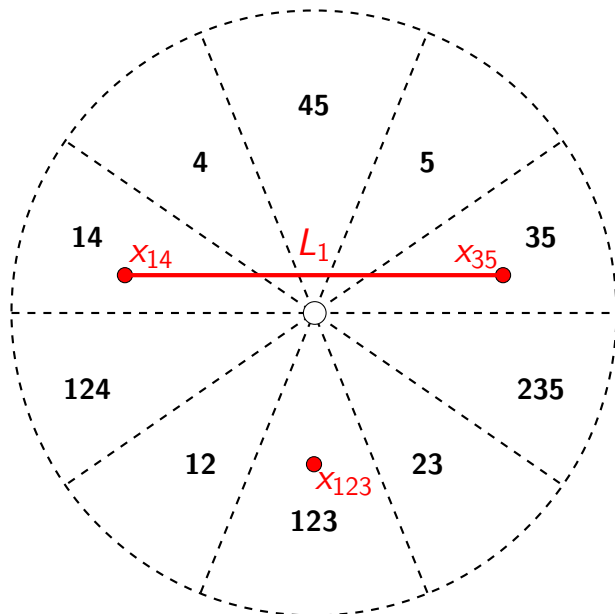
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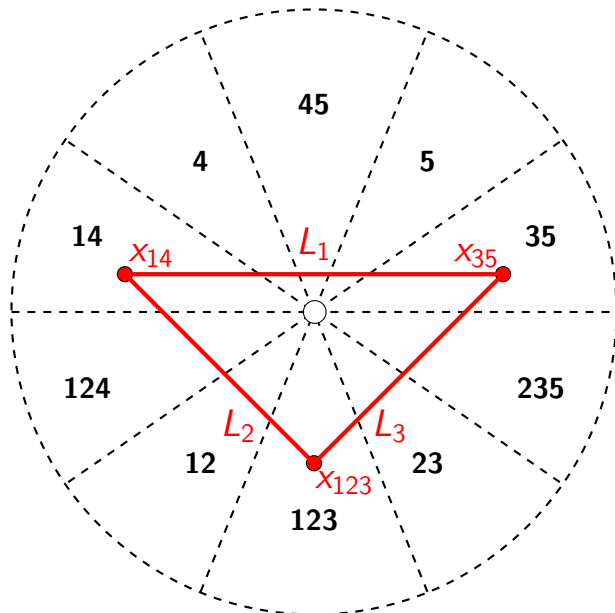
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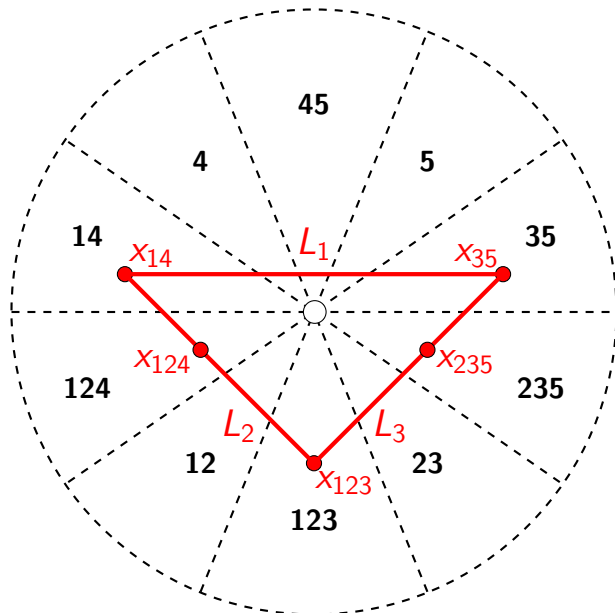
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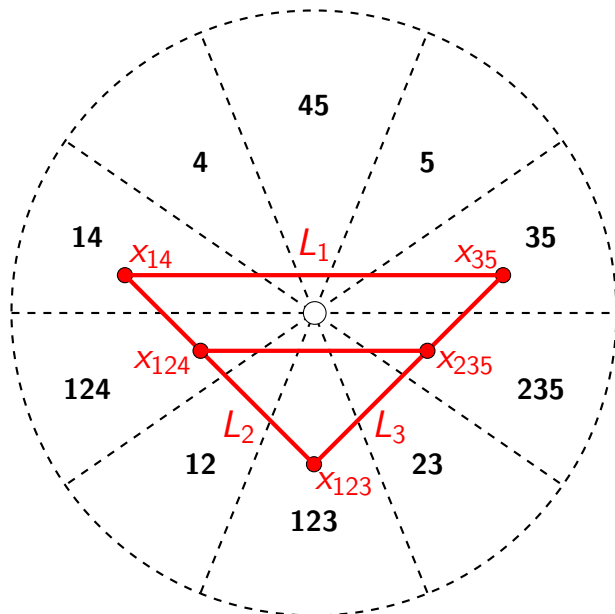
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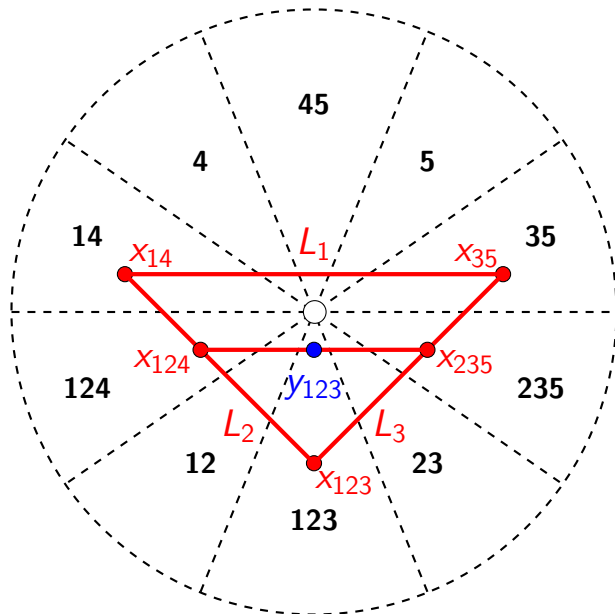
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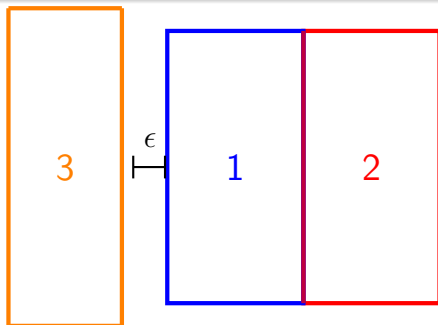
Theorem 4.3 (G. and Macdonald)

Let C be a neural code on n neurons with a closed convex cover $U = \{U_i\}_{i=1}^n$ in \mathbb{R}^d that is fully dimensional. For $\sigma \subset [n]$, we define $U_\sigma = \bigcap_{i \in \sigma} U_i$. If there does not exist an $\alpha \in C$ such that U_α consists of a set that cannot be drawn in \mathbb{R}^{d-1} or higher, then C is open convex.

Open Convex

Theorem 4.3 (G. and Macdonald)

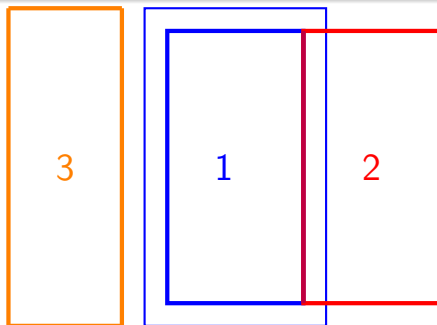
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Open Convex

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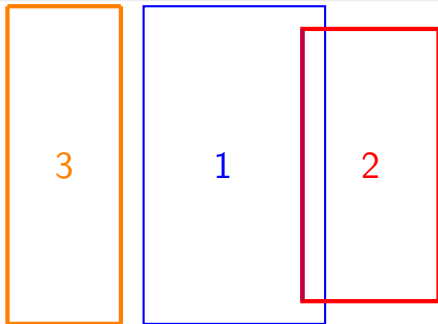
Let C be a neural code on n neurons with a closed convex cover $U = \{U_i\}_{i=1}^n$ in \mathbb{R}^d that is fully dimensional. For $\sigma \subset [n]$, we define $U_\sigma = \bigcap_{i \in \sigma} U_i$. If there does not exist an $\alpha \in C$ such that U_α consists of a set that cannot be drawn in \mathbb{R}^{d-1} or higher, then C is open convex.



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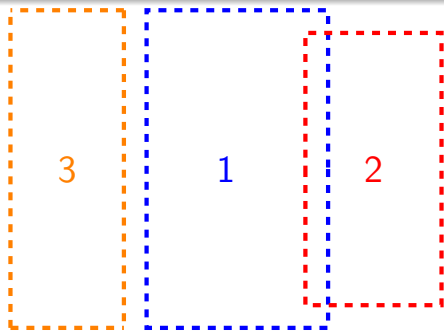
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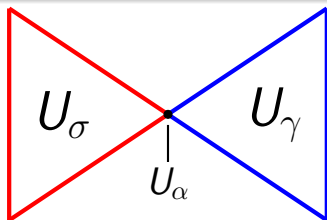
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Lemma 4.4 (G. and Macdonald)

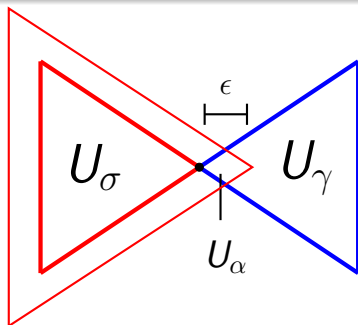
Let C be a neural code on n neurons with a closed convex cover $U = \{U_i\}_{i=1}^n$ in \mathbb{R}^d . If there exists a U_α that can only be expressed in \mathbb{R}^{d-2} or below and is the intersection of exactly two sets in U , then C is open convex.



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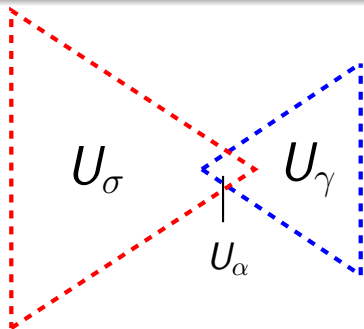
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Possible Future Research

Conjecture

If C is a 3-sparse, locally good neural code on n neurons that is closed convex, then C is also open convex.

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Next Up

Find and define other criteria for open convexity that does not depend on closed convexity.

Thank You!

Thank you for listening!

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References

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